

### AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application.  
Please amend Claims 1-6, 8-12, 15, 19, 23-33, and 45-48 as follows:

1. (Currently Amended) A locomotive, comprising:

a plurality of direct current traction motors corresponding to a plurality of axles and a plurality of drive switches, each traction motor operating in a driven mode and a free-wheeling mode, wherein in the driven mode a power pulse from an energy storage device passes through the traction motor and the corresponding drive switch and in the free-wheeling mode the power pulse from the energy storage device passes through the traction motor and bypasses the corresponding drive switch;

a plurality of filters, each filter corresponding to one of the plurality of direct current traction motors, to absorb electrical voltage transients and smooth current ripples through the traction motors resulting from changes between the driven and free-wheeling modes; and

a controller operable to determine a respective power requirement for each traction motor during a selected time interval and the necessary amplitude and pulse width of a power pulse to produce the determined power requirement for each traction motor, wherein during the selected time interval the respective power requirements of at least two traction motors are different.

2. (Currently Amended) The locomotive of Claim 1 further comprising:

a plurality of free-wheeling bypass circuits, each bypass circuit bypassing a corresponding one of the plurality of drive switches; and

a switch drive operable to pulse sequentially power to each of the traction motors to produce the respective power requirement during the selected time interval, wherein, when the revolutions per minute (RPM) of each of the traction motors is below an intermediate

RPM threshold, the pulses provided to the direct current traction motors are temporally non-overlapping and, when the RPM of each of the traction motors is above the intermediate RPM threshold, the pulses provided to the direct current traction motors are temporally at least partially overlapping.

3. (Currently Amended) The locomotive of Claim [[1]]2, further comprising:  
a plurality of chopper circuits corresponding to the plurality of direct current traction motors, each chopper circuit comprising the free-wheeling bypass circuit, the drive switch being in electrical communication with a respective direct current traction motor, and at least  
5 one of the filters, wherein a temporal spacing between adjacent pulses to each traction motor is maximized.

4. (Currently Amended) The locomotive of Claim 3, wherein, during a selected time interval, a first chopper circuit corresponding to a first traction motor is in the first mode and a second chopper circuit corresponding to a second traction motor is in the second mode  
and wherein over-current protection for each individually controlled traction motor is provided.

5. (Currently Amended) A locomotive, comprising:  
a plurality of direct current traction motors in communication with a plurality of axles;  
a prime energy source;  
5 an energy conversion device, in communication with the prime energy source, to convert the energy output by the prime energy source into direct current electricity;  
an energy storage device, in communication with the energy conversion device and the plurality of traction motors, to receive and store the direct current electricity;

10 a plurality of electrical storage subunits to receive, store, and supply the direct current electricity, wherein in a first mode the electrical storage subunits are connected electrically in series and in a second mode the electrical storage subunits are connected electrically in parallel; and

at least one switch to switch the electrical storage subunits between the first and second modes.

6. (Currently Amended) The locomotive of Claim 5 further comprising:

~~at least one switch to switch the electrical storage subunits between the first and second modes~~ a controller of the at least one switch, wherein, when a measured voltage output of the electrical storage subunits is lower than a selected threshold, the at least one switch switches to the first mode and, when the measured voltage output of the electrical storage subunits is greater than the selected threshold, the at least one switch switches to the second mode.

7. (Original) The locomotive of Claim 5 wherein simultaneously some of the electrical storage subunits are electrically connected in series and others of the electrical storage subunits are electrically connected in parallel.

8. (Currently Amended) A locomotive, comprising:

a plurality of direct current traction motors in communication with a plurality of axles;

a prime energy source;

5 an energy conversion device, in communication with the prime energy source, to convert the energy output by the prime energy source into direct current electricity; [[and]]

an energy storage device, in communication with the energy conversion device and the plurality of traction motors, to receive and store the direct current electricity, wherein the

energy storage device comprises a plurality of capacitors operable to store the stored energy;  
10     and  
          a pulse forming network to maintain the output power pulses of the energy storage  
          device at least substantially constant in magnitude.

9.     (Currently Amended) The locomotive of Claim 8 wherein at least most of the stored electricity is stored in the plurality of capacitors and wherein the pulse forming network includes a buck-boost chopper circuit.

10.    (Currently Amended) The locomotive of claim 9 ~~further comprising a pulse forming network to convert the output of the plurality of capacitors to a form acceptable to the traction motors~~ wherein the waveform representing the amplitude of the output of the energy storage device as a function of time is at least substantially linear.

11.    (Currently Amended) A locomotive, comprising:  
          a plurality of traction motors in communication with a plurality of axles;  
          a prime energy source for providing power to the plurality of traction motors; and  
          a plurality of air brake systems operatively engaging a respective one of the plurality  
5     of axles, each air brake system comprising at least one movable braking surface element and  
          corresponding air-brake cylinder and a fluid-activated brake release, wherein, when a  
          moveable braking surface element is locked in position against a braking surface, fluid  
          pressure is applied against the braking surface by the fluid-activated brake release to  
          disengage the locked moveable braking surface from the braking surface.

12.    (Currently Amended) The locomotive of Claim 11, further comprising:  
          an energy conversion device, in communication with the prime energy source, to  
          convert the energy output by the prime energy source into direct current electricity; [[and]]

an energy storage device, in communication with the energy conversion device and  
5 the plurality of traction motors, to receive and store the direct current electricity, wherein the  
moveable braking surface element is a perforated brake shoe and wherein the air brake  
systems each comprise a brake shoe housing including the perforated brake shoe and  
wherein, when the fluid-activated brake release is activated, the high pressure fluid is passed  
through the brake shoe perforations and against the interface between the engaged brake shoe  
10 and the braking surface of the wheel to effect physical separation of the brake shoe and the  
wheel braking surface.

13. (Original) The locomotive of Claim 11 wherein each moveable braking  
surface element comprises a plurality of holes passing therethrough and the fluid-activated  
brake release forces fluid through the holes in the moveable braking surface element and  
against the braking surface to form a brake release force.

14. (Original) The locomotive of Claim 13 wherein the force required to unlock  
a locked braking surface element is the braking force and the release force is at least about  
10% greater than the braking force.

15. (Currently Amended) A locomotive, comprising:  
a plurality of direct current traction motors in communication with a plurality of  
axles;  
a prime energy source;  
5 an energy conversion device, in communication with the prime energy source, to  
convert the energy output by the prime energy source into direct current electricity;  
an energy storage device, in communication with the energy conversion device and  
the plurality of traction motors, to receive and store the direct current electricity;

a controller operable to control an excitation current to the energy conversion device,  
10 wherein at least one of the following statements is true:

(I) when a first predetermined set point is exceeded by a first monitored parameter,  
the excitation current is increased and, when a second predetermined set point ~~exceeds is~~  
exceeded by the first monitored parameter, the excitation current is decreased and wherein  
15 the first monitored parameter is revolutions per minute of a mechanical component of the  
prime energy source and

(ii) when the first predetermined set point is exceeded by a second monitored  
parameter, the excitation current is decreased and, when the second predetermined set point  
~~exceeds is~~ exceeded by the second monitored parameter, the excitation current is increased  
and wherein the second monitored parameter is the output power of the energy conversion  
20 device.

16. (Original) The locomotive of Claim 15 wherein the first and second  
predetermined set points are selected to produce at least a desired degree of fuel efficiency  
for the prime energy source.

17. (Original) The locomotive of Claim 15 wherein (I) is true.

18. (Original) The locomotive of Claim 15 wherein (ii) is true.

19. (Currently Amended) A method for providing electrical energy to an energy  
storage device in a locomotive, comprising:

(a) providing a locomotive comprising:

(i) a plurality of direct current traction motors in communication with a  
5 plurality of axles;

(ii) a prime energy source;

(iii) an energy conversion device, in communication with the prime energy source, to convert the energy output by the prime energy source into direct current electricity; and

10 (iv) an energy storage device, in communication with the energy conversion device and the plurality of traction motors, to receive and store the direct current electricity; and

(b) controlling an excitation current to the energy conversion device by performing at least one of the following steps:

15 (I) when a first predetermined set point is exceeded by a first monitored parameter, the excitation current is increased and, when a second predetermined set point ~~exceeds~~is exceeded by the first monitored parameter, the excitation current is decreased and wherein the first monitored parameter is revolutions per minute of a mechanical component of the prime energy source and

20 (ii) when the first predetermined set point is exceeded by a second monitored parameter, the excitation current is decreased and, when the second predetermined set point ~~exceeds~~is exceeded by the second monitored parameter, the excitation current is increased and wherein the second monitored parameter is the output power of the energy conversion device.

20. (Original) The method of Claim 19 wherein the first and second predetermined set points are selected to produce at least a desired degree of fuel efficiency for the prime energy source.

21. (Original) The locomotive of Claim 19 wherein step (i) is performed.

22. (Original) The locomotive of Claim 19 wherein step (ii) is performed.

23. (Currently Amended) A locomotive, comprising:  
a plurality of direct current traction motors in communication with a plurality of  
axles;

a prime energy source;

5 an energy conversion device, in communication with the prime energy source, to  
convert the energy output by the prime energy source into direct current electricity;

an energy storage device, in communication with the energy conversion device and  
the plurality of traction motors, to receive and store the direct current electricity;

10 a controller operable to (I) monitor an operational parameter of each of the plurality  
of axles and/or traction motors, wherein the monitored operational parameter is ~~at least one~~  
~~of revolutions per minute of an axle, an electrical current provided to a traction motor, and~~  
~~a voltage applied to a component of a traction motor~~ includes (a) an electrical current and/or  
voltage output by the energy storage device and (b) a state of charge and/or voltage of the  
energy storage device, and (ii) in response to the monitored operational parameter, control  
15 operation of the prime energy source.

24. (Currently Amended) The locomotive of Claim 23 ~~wherein the controller is~~  
~~operable to control each of the plurality of traction motors independently of the other traction~~  
~~motors~~ wherein the controller is operable to:

when the prime energy source is activated,

deactivate the prime energy source when the energy storage device voltage  
and/or state of charge is above a second set point; and

when the prime energy source is deactivated,

activate the prime energy source when the energy storage device voltage  
and/or state of charge is below a first set point.



25. (Currently Amended) The locomotive of Claim ~~[[23]]~~24 wherein the controller is operable to control each of the plurality of traction motors independently of the other traction motors, wherein the controller is operable to decrease power supplied to a first traction motor engaging a first axle without decreasing the power supplied to other traction motors when the revolutions per minute exceed a selected threshold, and wherein the controller is operable, when the prime energy source is activated, to generate a warning when the energy storage device voltage and/or state of charge is below a first set point.

26. (Currently Amended) The locomotive of Claim 23 ~~further comprising:~~  
~~— an air brake assembly located on each of the plurality of axles, the air brake assembly comprising one or more brake shoes, an air cylinder, and an fluid-activated brake release~~wherein the controller is operable to:

determine, based on the measured current and/or voltage output by the energy storage device, a state of charge of the energy storage device; and  
when the state of charge is below a selected set point, indicate a warning to an operator.

27. (Currently Amended) The locomotive of Claim 25 further comprising:  
an air brake assembly located on each of the plurality of axles, the air brake assembly comprising one or more brake shoes, an air cylinder, and an fluid-activated brake release,  
wherein, when a first air brake assembly is locked in engagement with a first braking surface  
5 on a first axle but a second air brake assembly is not locked into engagement with a second  
braking surface on a second axle, the controller is operable to activate a first fluid-activated  
brake release on the first axle without activating a second fluid-activated brake release on the  
second axle.

28. (Currently Amended) The locomotive of Claim ~~[[26]]~~27, wherein a brake assembly is deemed to be locked when the locomotive is in motion, the air brake assembly is deactivated, and the revolutions per minute on the axle engaging the air brake assembly are at least substantially zero.

29. (Currently Amended) A method for controlling the operation of a locomotive, comprising:

(a) providing a locomotive, the locomotive comprising:

(I) a plurality of direct current traction motors in communication with a plurality of axles;

(ii) a prime energy source;

(iii) an energy conversion device, in communication with the prime energy source, to convert the energy output by the prime energy source into direct current electricity; and

(iv) an energy storage device, in communication with the energy conversion device and the plurality of traction motors, to receive and store the direct current electricity; ~~[[and]]~~

(b) monitoring an operational parameter of each of the plurality of axles and/or traction motors, wherein the monitored operational parameter ~~is at least one of revolutions per minute of an axle, an electrical current provided to a traction motor, and a voltage applied to a component of a traction motor~~ includes (a) an electrical current and/or volts output by the energy storage device and (b) a state of charge and/or voltage of the energy storage device and

© in response to the monitored operational parameter, controlling activation and deactivation of the prime energy source to control provision of direct current electricity to the energy storage device.

30. (Currently Amended) The method of Claim 29 ~~further comprising:~~  
~~controlling each of the plurality of traction motors independently of the other traction~~  
~~motors wherein the controlling step (c) comprises the substeps of:~~  
~~when the prime energy source is activated,~~  
~~generating a warning when the energy storage device voltage and/or state of~~  
~~charge is below a first set point; and~~  
~~deactivating the prime energy source when the energy storage device voltage~~  
~~and/or state of charge is above a second set point; and~~  
~~when the prime energy source is deactivated,~~  
~~activating the generator when the energy storage device voltage and/or state~~  
~~of charge is below the first set point.~~

31. (Currently Amended) The method of Claim 29 further comprising:  
controlling each of the plurality of traction motors independently of the other traction  
motors; and  
decreasing power supplied to a first traction motor engaging a first axle without  
decreasing the power supplied to other traction motors when the revolutions per minute of  
the first axle exceed a selected threshold.

32. (Currently Amended) The method of Claim 29 wherein the locomotive  
~~comprises an air brake assembly located on each of the plurality of axles, the air brake~~  
~~assembly comprising one or more brake pads, an air cylinder, and an air-activated brake~~  
~~releasecontrolling step comprises the substeps of:~~  
determining, based on the measured current and/or voltage output by the energy  
storage device, a state of charge of the energy storage device; and  
when the state of charge is below a selected set point, generating a warning to an  
operator.

33. (Currently Amended) The method of Claim 29 wherein the locomotive comprises an air brake assembly located on each of the plurality of axles, the air brake assembly comprising one or more brake pads, an air cylinder, and an air-activated brake release and further comprising:

5       when a first air brake assembly is locked in engagement with a first braking surface on a first axle but a second air brake assembly is not locked into engagement with a second braking surface on a second axle, activating a first fluid-activated brake release on the first axle without activating a second fluid-activated brake release on the second axle.

34. (Original) The locomotive of Claim 33 wherein a brake assembly is deemed to be locked when the locomotive is in motion, the air brake assembly is deactivated, and the revolutions per minute on the axle engaging the air brake assembly are at least substantially zero.

35. (Original) A locomotive, comprising:

a plurality of direct current traction motors in communication with a plurality of axles;

a prime energy source;

5       an energy conversion device, in communication with the prime energy source, to convert the energy output by the prime energy source into direct current electricity;

an energy storage device, in communication with the energy conversion device and the plurality of traction motors, to receive and store the direct current electricity;

10       a user interface operable to receive a command from an operator to control a locomotive speed at a specified velocity; and

a controller operable to control the velocity of the locomotive at or near the specified velocity by performing at least one of the following steps:

(I) maintaining a substantially constant power across each of the plurality of traction motors, the power being related to the specified velocity; and

15           (ii) maintaining the revolutions per minute of each of the plurality of axles at a rate related to the specified velocity.

36.    (Original) The locomotive of Claim 35 wherein step (I) is performed.

37.    (Original) The locomotive of Claim 35 wherein step (ii) is performed.

38.    (Original) The locomotive of Claim 35 wherein corresponding power applied across at least two of the traction motors are different.

39.    (Original) The locomotive of Claim 35 wherein corresponding revolutions per minute of at least two of the axles are different.

40.    (Original) A method for operating a locomotive, comprising:

(a) providing a locomotive, the locomotive comprising:

(I) a plurality of direct current traction motors in communication with a plurality of axles;

5           (ii) a prime energy source;

(iii) an energy conversion device, in communication with the prime energy source, to convert the energy output by the prime energy source into direct current electricity;

(iv) an energy storage device, in communication with the energy conversion device and the plurality of traction motors, to receive and store the direct current electricity;

10          and

(v) a user interface operable to receive a command from an operator to control a locomotive speed at a specified velocity; and

(b) controlling the velocity of the locomotive at or near the specified velocity by performing at least one of the following steps:

15 (I) maintaining a substantially constant power across each of the plurality of traction motors, the power being related to the specified velocity; and

(ii) maintaining the revolutions per minute of each of the plurality of axles at a rate related to the specified velocity.

41. (Original) The method of Claim 40 wherein step (I) is performed.

42. (Original) The method of Claim 40 wherein step (ii) is performed.

43. (Original) The method of claim 40 wherein corresponding power applied across at least two of the traction motors are different.

44. (Original) The method of Claim 40 wherein corresponding revolutions per minute of at least two of the axles are different.

45. (Currently Amended) A power control system for a locomotive, comprising:  
a plurality of direct current traction motors in communication with a plurality of  
axles;

a prime energy source;

5 an energy conversion device, in communication with the prime energy source, to  
convert the energy output by the prime energy source into direct current electricity;

an energy storage device, in communication with the energy conversion device and  
the plurality of traction motors, to receive, store, and supply the direct current electricity;

10 a user interface operable to receive a command from an operator to control a  
locomotive speed at a specified velocity;

a controller operable to determine an electrical current passing through each of a plurality of direct current traction motors; and

15 a graphical user interface operable to ~~provide the electrical current passing through each of the plurality of direct current traction motors to an operator~~display a current power being delivered by the energy storage device, a voltage of the energy storage device, an electrical current of the energy storage device, and a state of charge of the energy storage device to permit the operator to monitor a state of the energy storage device.

46. (Currently Amended) The power control system of claim 45, wherein the controller is operable to: ~~activate an alarm when the electrical current passing through one or more of the direct current traction motors exceeds a predetermined threshold~~

when the prime energy source is activated,

generate a warning when the energy storage device voltage and/or state of charge is below a first set point; and

deactivate the prime energy source when the energy storage device voltage and/or state of charge is above a second set point; and

when the prime energy source is deactivated,

activate the generator when the energy storage device voltage and/or state of charge is below the first set point.

47. (Currently Amended) A power control method for a locomotive, comprising: providing a locomotive comprising:

(I) a plurality of direct current traction motors in communication with a plurality of axles;

5 (ii) a prime energy source;

(iii) an energy conversion device, in communication with the prime energy source, to convert the energy output by the prime energy source into direct current electricity;

(iv) an energy storage device, in communication with the energy conversion device and the plurality of traction motors, to receive and store the direct current electricity;

10 (v) a user interface operable to receive a command from an operator to control a locomotive speed at a specified velocity;

determining an electrical current passing through each of a plurality of direct current traction motors; and

~~— providing the information of the electrical current passing through each of the~~  
15 ~~plurality of direct current traction motors to an operator~~

displaying a current power being delivered by the energy storage device, a voltage of the energy storage device, an electrical current from the energy storage device, and a state of charge of the energy storage device; and

receive commands from the operator in response to the displayed information.

48. (Currently Amended) The power control method of claim 47, further comprising:

~~activating an alarm when the electrical current passing through one or more of the direct current traction motors exceeds a predetermined threshold~~ when the prime energy source is activated,

generating a warning when the energy storage device voltage and/or state of charge is below a first set point; and

deactivating the prime energy source when the energy storage device voltage and/or state of charge is above a second set point; and

when the prime energy source is deactivated,

activating the generator when the energy storage device voltage and/or state of charge is below the first set point;

determining, based on the measured current and/or voltage output by the energy storage device, a state of charge of the energy storage device; and



*Application No. 10/650,011*  
*Reply to Office Action of Oct. 5, 2004*  
*Amendment dated Jan. 5, 2005*

when the state of charge is below a selected set point, generating a warning to an operator.